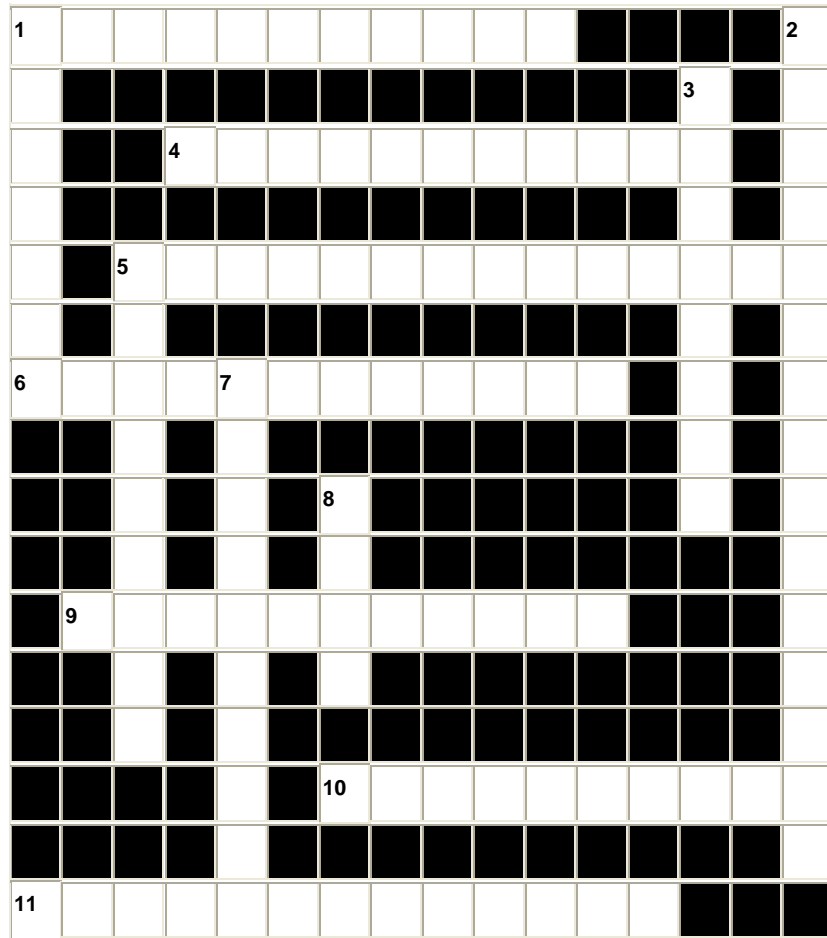


Series 7 – Chapter 1



Across:

- 1 The board of directors declares that a dividend will be paid on the _____ date
- 4 A tracking number assigned to every issue of stock of any kind
- 5 The point at which the security changes hands
- 6 A stock split must be approved by the
- 9 The variety of preferred stock that is LEAST sensitive to changes in interest rates
- 10 rights that allow shareholders to keep their respective share of ownership when new stock is issued
- 11 Annual dividend/current market value of stock is

Down:

- 1 If the shareholders approve a 2-for-1 split then the number of shares outstanding
- 2 A type of money market security
- 3 stock that a company buys back on the secondary market
- 5 The kind of voting when common stock shareholders can only vote up to the amount of shares they own per each board of directors candidate
- 7 if you want the dividend you must buy the stock before this date
- 8 Allow investors to purchase foreign investments without having to transact business in another country